

Appendix 4 – RJC-Recognised Frameworks

RJC-RECOGNISED FRAMEWORKS

TABLE A4.1. RECOGNISED FRAMEWORKS FOR THE COP STANDARD 2024

EXTERNAL STANDARD OR INITIATIVE	IMPLICATIONS FOR COP SELF-ASSESSMENT OR AUDIT IF EXTERNALLY CERTIFIED
<p>Social Accountability International SA8000® Standard</p> <p>https://sa-intl.org/wp-content/uploads/2020/02/SA8000Standard2014.pdf</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions:</p> <ul style="list-style-type: none"> • General Employment Terms: 15.1, 15.2, 15.3 • Working Hours: 16.1, 16.2, 16.3a–b, 16.5, 16.6 • Remuneration: 17.1, 17.2, 17.3a–c, 17.4, 17.5, 17.6 • Harassment, Discipline, Grievance Procedures and Non-retaliation: 18.1, 18.2, 18.4, 18.5a–c • Child Labour: 19.1a, 19.2, 19.3 • Forced Labour: 20.1, 20.2a, b, d and e, 20.3 • Freedom of Association and Collective Bargaining: 21.1, 21.2, 21.3 • Non-discrimination: 22.1 • Health and Safety: 24.1, 24.2a–d, 24.3, 24.4, 24.5, 24.6, 24.7, 24.8
<p>International Organization for Standardization (ISO) Environmental Management Systems standard</p> <p>ISO 14001:2015</p> <p>https://www.iso.org/standard/60857.html</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions:</p> <ul style="list-style-type: none"> • Environmental Management: 25.1 <p>Some ISO 14001 standards are less specific than the equivalent COP provisions. The auditor shall assess the scope of the entity's/facility's ISO 14001 certification and determine whether the following COP provisions were appropriately verified:</p> <ul style="list-style-type: none"> • Hazardous Substances: 26.4 • Wastes and Emissions: 27.2, 27.3, 27.4, 27.5, 27.6, 27.7 • Use of Natural Resources: 28.1, 28.2, 28.3 <p>If they were appropriately verified during the ISO audit, they do not have to be audited again; if not, they shall be fully verified during the RJC audit.</p>
<p>ISO Occupational Health and Safety Management Systems standard</p> <p>ISO 45001:2018</p> <p>https://www.iso.org/standard/63787.html</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions:</p> <ul style="list-style-type: none"> • Health and Safety: 24.1, 24.2, 24.3, 24.4, 24.5, 24.6, 24.7, 24.8 • Hazardous Substances: 26.4 • Mercury: 42.2b <p>Some ISO 45001 standards are less specific than equivalent COP provisions. In particular:</p> <ul style="list-style-type: none"> • Emergency Response COP provision 39.1 requires emergency response plans to be based on UN Environment's Awareness and Preparedness for Emergencies at Local Level for Mining, which is not required by ISO 45001.

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<p>ISO Occupational Health and Safety Management Systems standard ISO 45001:2018 (cont.) https://www.iso.org/standard/63787.html</p>	<p>The auditor shall assess the scope of the entity's/facility's ISO 45001 certification and determine whether this COP provision was appropriately verified during the ISO audit. If it was, it does not need to be audited again; if not, it shall be fully verified during the RJC audit.</p>
<p>London Bullion Market Association (LBMA):</p> <ul style="list-style-type: none"> • Good Delivery list • LBMA Responsible Gold Guidance <p>https://www.lbma.org.uk/responsible-sourcing/guidance-documents</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions for gold:</p> <ul style="list-style-type: none"> • Due Diligence for Responsible Sourcing, Including from Conflict-Affected and High-Risk Areas: 7.1a, b, c, f, 7.2a. <p>The member must provide a "Reasonable Assurance" audit report for review. The auditor shall verify that there have not been any changes/additions to sources since the date of the last LBMA assessment and, in the event of changes, verify that the due diligence process has been followed and the appropriate risk rating applied. When verifying open nonconformities, LBMA's low risk grading can be treated as a minor nonconformity. For medium risk, high risk and zero tolerance these are to be treated as major nonconformities.</p>
<p>Responsible Minerals Assurance Process (RMAP):</p> <ul style="list-style-type: none"> • Conformant smelters and refiners list • Gold Refiner Standard <p>https://www.responsiblemineralsinitiative.org/gold-refiners-list/conformant-gold-refiners/</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions for gold:</p> <ul style="list-style-type: none"> • Due Diligence for Responsible Sourcing, Including from Conflict-Affected and High-Risk Areas: 7.1a, b, c, f, 7.2a–b. <p>The Member must provide the full audit report from the latest audit supporting the current certification. The auditor shall verify that there have not been any changes/additions to sources since the date of the last RMI/RMAP assessment and, in the event of changes, verify that the due diligence process has been followed and the appropriate risk rating applied. When verifying an open RMI nonconformant audit outcome, auditors must take into consideration whether the nonconformity is equivalent to an RJC minor or major nonconformity in order to determine their actions in verifying the cross recognition.</p>
<p>Dubai Multi Commodities Centre (DMCC):</p> <ul style="list-style-type: none"> • Good Delivery list • Rules for Risk Based Due Diligence in the Gold and Precious Metals Supply Chain <p>https://dmcc.ae/ecosystems/gold</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions for gold:</p> <ul style="list-style-type: none"> • Due Diligence for Responsible Sourcing, Including from Conflict-Affected and High-Risk Areas: 7.1a, b, c, f, 7.2a. <p>The member must provide a "Reasonable Assurance" audit report for review. The auditor shall verify that there have not been any changes/additions to sources since the date of the last DMCC assessment and, in the event of changes, verify that the due diligence process has been followed and the appropriate risk rating applied. When verifying open nonconformities, DMCC's low and medium risk deviations can be treated as minor nonconformities. For high-risk deviations these are to be treated as major nonconformities.</p>

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EXTERNAL STANDARD OR INITIATIVE	IMPLICATIONS FOR COP SELF-ASSESSMENT OR AUDIT IF EXTERNALLY CERTIFIED
<p>International Council on Mining and Metals (ICMM) Mining Principles – Performance Expectations February 2020 https://www.icmm.com/en-gb/our-principles/mining-principles/mining-principles</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions by an RJC auditor (approved to conduct both ICMM and RJC audits – please refer to ICMM website for auditor criteria). Since some ICMM Mining Principles are less specific than the equivalent RJC provisions, the auditor shall verify that the ICMM audit has covered all requirements.</p> <ul style="list-style-type: none"> • General Requirements: 1, 2, 31.a • Responsible Supply Chains and Human Rights: 5, 6, 7.1a-e, 10, 11.1-2, 12.1, 12.3-5, 13.2 • Labour Rights and Working Conditions: 17.1 & 8, 18.1, 19.1–2, 20.1, 20.3, 21.2, 21.3, 22 • Health, Safety and Environment: 24.1, 24.3-8, 25, 26.1-2, 27.1-5, 28.1-2 • Gold, Silver, PGM, Diamond and Coloured Gemstone Products: N/A • Responsible Mining and Mineral Processing: 32.1, 33, 34, 35, 37.1–2 & 4, 38, 39.1–2 & 5, 40.1, 2 & 4, 42, 43
<p>The Mining Association of Canada – Towards Sustainable Mining (TSM) Guiding Principles https://mining.ca/towards-sustainable-mining/</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions by an RJC auditor (approved to conduct both MAC-TSM and RJC audits). The audit firms must be listed on both the RJC’s approved audit firms list (see https://www.responsiblejewellery.com/auditors/find-an-rjc-auditor/) and on MAC’s List of Verification Service Providers (VSP) (available on the MAC website).</p> <ul style="list-style-type: none"> • General Requirements: N/A • Responsible Supply Chains and Human Rights: 10, 13 • Labour Rights and Working Conditions: 19.1, 20.1, 20.3 • Health, Safety and Environment: 24.1, 24.4–7, 25.1d–e, 26.1, 27.1, 28.1 • Gold, Silver, PGM, Diamond and Coloured Gemstone Products: N/A • Responsible Mining and Mineral Processing: 32.2f, 33.2a, b & d, 33.3, 34.1a, 34.2, 35.1, 35.3, 35.4, 39.1–2, 39.6a, 40.1–2

Note: This table is based on the changes brought by the COP 2024 standard, as opposed to a comprehensive benchmarking against the same. The table will therefore be updated once a full benchmarking is completed, and as further initiatives are benchmarked.

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TABLE A4.2. RECOGNISED FRAMEWORKS FOR THE COC STANDARD 2024

EXTERNAL STANDARD OR INITIATIVE	IMPLICATIONS FOR COC SELF-ASSESSMENT OR AUDIT IF EXTERNALLY CERTIFIED
<p>Fairtrade Standard for Gold and Associated Precious Metals for Artisanal and Small-Scale Mining www.fairtrade.org.uk/Farmers-and-Workers/ Gold</p> <p>Fairmined Standard for Gold from Artisanal and Small-scale Mining, including associated precious metals www.fairmined.org/the-fairmined-standard</p>	<p>Material from mines certified to these standards can be traded under the COC Standard in combination with other COC materials, subject to due diligence and other verification requirements detailed in COC standard provision 5.1C.</p>
<p>International Council on Mining and Metals (ICMM) sustainable development framework (mines that have been included in assurance site sampling within the past three years) www.icmm.com</p> <p>The Mining Association of Canada – Towards Sustainable Mining (TSM) programme http://mining.ca/towards-sustainable-mining/</p>	<p>Material from mines verified or assured against these schemes is subject to a validation process before it can be traded in combination with other COC materials (see COC Standard provision 5, Eligible Mined Material).</p>

Note: This table is based on the changes brought by the COC 2024 standard, as opposed to a comprehensive benchmarking against the same. The table will therefore be updated once a full benchmarking is completed, and as further initiatives are benchmarked.



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TABLE A4.3. RECOGNISED FRAMEWORKS FOR THE COP STANDARD 2019

EXTERNAL STANDARD OR INITIATIVE	IMPLICATIONS FOR COP SELF-ASSESSMENT OR AUDIT IF EXTERNALLY CERTIFIED
<p>Social Accountability International SA8000® Standard</p> <p>https://sa-intl.org/wp-content/uploads/2020/02/SA8000Standard2014.pdf</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions:</p> <ul style="list-style-type: none"> • General Employment Terms: 15.1, 15.2, 15.3 • Working Hours: 16.1, 16.2a–c, 16.3a–b, 16.5 • Remuneration: 17.1, 17.2, 17.3, 17.4, 17.5, 17.6, 17.7, 17.8 • Harassment, Discipline, Grievance Procedures and Non-Retaliation: 18.1, 18.3, 18.4a–c, 18.5 • Child Labour: 19.1a, 19.2, 19.3 • Forced Labour: 20.1, 20.2a–e, 20.3 • Freedom of Association and Collective Bargaining: 21.1, 21.2, 21.3 • Non-Discrimination: 22.1 • Health and Safety: 23.1, 23.2, 23.3, 23.4, 23.5, 23.6, 23.7, 23.9
<p>International Organization for Standardization (ISO) Environmental Management Systems standard ISO 14001:2015</p> <p>https://www.iso.org/standard/60857.html</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions:</p> <ul style="list-style-type: none"> • Environmental Management: 24.1, 24.2 <p>Some ISO 14001 standards are less specific than the equivalent COP provisions. The auditor shall assess the scope of the entity's/facility's ISO 14001 certification and determine whether the following COP provisions were appropriately verified:</p> <ul style="list-style-type: none"> • Hazardous Substances: 25.3 • Wastes and Emissions: 26.1, 26.2a • Use of Natural Resources: 27.1, 27.2, 27.3, 27.4 • Biodiversity: 38.2a, 38.2c <p>If they were appropriately verified during the ISO audit, they do not have to be audited again; if not, they shall be fully verified during the RJC audit.</p>
<p>ISO Occupational Health and Safety Management Systems standard ISO 45001:2018</p> <p>https://www.iso.org/standard/63787.html</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions:</p> <ul style="list-style-type: none"> • Health and Safety: 23.1, 23.2g–h, 23.3, 23.4, 23.5, 23.6, 23.8, 23.9 • Hazardous Substances: 25.3 • Mercury: 41.2b <p>Some ISO 45001 standards are less specific than the equivalent COP provisions. In particular:</p> <ul style="list-style-type: none"> • Emergency Response COP provision 37.1 requires emergency response plans to be based on UN Environment's Awareness and Preparedness for Emergencies at Local Level for Mining, which is not required by ISO 45001. <p>The auditor shall assess the scope of the entity's/facility's ISO 45001 certification and determine whether this COP provision was appropriately verified during the ISO audit. If it was, it does not need to be audited again; if not, it shall be fully verified during the RJC audit.</p>

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<p>London Bullion Market Association (LBMA):</p> <ul style="list-style-type: none"> • Good Delivery list • LBMA Responsible Gold Guidance <p>https://www.lbma.org.uk/responsible-sourcing/guidance-documents</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions for gold:</p> <ul style="list-style-type: none"> • Due Diligence for Responsible Sourcing from Conflict-Affected and High-Risk Areas: 7.1a, 7.2, 7.3a <p>The member must provide a 'Reasonable Assurance' audit report for review. When verifying open nonconformities, LBMA's low-risk grading can be treated as a minor nonconformity. For medium risk, high risk and zero tolerance, these are to be treated as major nonconformities.</p>
<p>Responsible Minerals Assurance Process (RMAP):</p> <ul style="list-style-type: none"> • Conformant smelters and refiners list • Gold Refiner Standard <p>https://www.responsiblemineralsinitiative.org/gold-refiners-list/conformant-gold-refiners/</p> <p>https://www.responsiblemineralsinitiative.org/minerals-due-diligence/standards/</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions for gold:</p> <ul style="list-style-type: none"> • Due Diligence for Responsible Sourcing from Conflict-Affected and High-Risk Areas: 7.1a, 7.2, 7.3a <p>When verifying an open RMI nonconformant audit outcome, auditors shall take into consideration whether the nonconformity is equivalent to an RJC minor or major nonconformity in order to determine their actions in verifying the cross-recognition.</p>
<p>Dubai Multi Commodities Centre (DMCC):</p> <ul style="list-style-type: none"> • Good Delivery list • Rules for Risk Based Due Diligence in the Gold and Precious Metals Supply Chain <p>https://dmcc.ae/ecosystems/gold</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions for gold:</p> <ul style="list-style-type: none"> • Due Diligence for Responsible Sourcing from Conflict-Affected and High-Risk Areas: 7.1a, 7.2, 7.3a <p>The member must provide a 'Reasonable Assurance' audit report for review. When verifying open nonconformities, DMCC's low- and medium-risk deviations can be treated as minor nonconformities. High-risk deviations are to be treated as major nonconformities.</p>
<p>International Council on Mining and Metals (ICMM) Mining Principles – Performance Expectations February 2020</p> <p>https://www.icmm.com/en-gb/our-principles/mining-principles/mining-principles</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions by an RJC auditor (approved to conduct both ICMM and RJC audits – please refer to ICMM website for auditor criteria).</p> <ul style="list-style-type: none"> • General Requirements: 1, 2, 3 • Responsible Supply Chains, Human Rights and Due Diligence: 5, 6, 7.1–2, 10, 11.1–2, 12, 13.2–3 • Labour Rights and Working Conditions: 17.1–2 & 8, 18.1, 19.1–2, 20.1, 20.3, 21, 22 • Health, Safety and Environment: 23.1, 23.3–9, 24, 25.1, 26.1, 27.1–2 & 4 • Gold, Silver, PGM, Diamond and Coloured Gemstone Products: N/A • Responsible Mining: 31, 32, 33, 34, 36, 37, 38.1–2 & 4, 39.1–2 & 4, 41, 42

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<p>The Mining Association of Canada – Towards Sustainable Mining (TSM) Guiding Principles</p> <p>https://mining.ca/towards-sustainable-mining/</p>	<p>The entity/facility does not have to be fully audited against the following COP provisions by an RJC auditor (approved to conduct both MAC-TSM and RJC audits). The audit firms must be listed on both the RJC’s approved audit firms list (see https://www.responsiblejewellery.com/auditors/find-an-rjc-auditor/) and on MAC’s List of Verification Service Providers (VSP) (available on the MAC website).</p> <ul style="list-style-type: none"> • General Requirements: N/A • Responsible Supply Chains, Human Rights and Due Diligence: 10, 13 • Labour Rights and Working Conditions: 19.1, 20.1, 20.3 • Health, Safety and Environment: 23.1, 23.6–8, 24, 25.1, 26.1, 27.1–2 & 4 • Gold, Silver, PGM, Diamond and Coloured Gemstone Products: N/A • Responsible Mining: 31.2f, 32.1, 32.2a, c–d, 32.3, 33.1–2, 34, 38.1–2, 38.3a, 39.1–2



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TABLE A4.4. RECOGNISED FRAMEWORKS FOR THE COC STANDARD 2017

EXTERNAL STANDARD OR INITIATIVE	IMPLICATIONS FOR COC SELF-ASSESSMENT OR AUDIT IF EXTERNALLY CERTIFIED
<p>London Bullion Market Association (LBMA):</p> <ul style="list-style-type: none"> • Good Delivery list • LBMA Responsible Gold Guidance <p>www.lbma.org.uk/responsible-sourcing-guidance</p>	<p>Gold refiners do not have to be fully audited against the following COC standard provisions:</p> <ul style="list-style-type: none"> • Due diligence: 1.1, 1.2, 1.3 <p>When verifying open nonconformities, LBMA's low-risk grading can be treated as a minor nonconformity. For medium risk, high risk and zero tolerance, these are to be treated as major nonconformities.</p>
<p>Responsible Minerals Assurance Process (RMAP):</p> <ul style="list-style-type: none"> • Conformant smelters and refiners list • Gold Refiner Standard <p>www.responsiblemineralsinitiative.org/conformant-smelter-refiner-lists/</p>	<p>Gold refiners do not have to be audited against the following COC standard provisions:</p> <ul style="list-style-type: none"> • Due diligence: 1.1, 1.2, 1.3 <p>When verifying an open RMI nonconformant audit outcome, auditors must take into consideration whether the nonconformity is equivalent to an RJC minor or major nonconformity in order to determine their actions in verifying the cross-recognition.</p> <p>Note that this recognition is based on the Responsible Minerals Initiative 2017 Gold Refiner Standard, which was effective from 1 June 2018.</p>
<p>Dubai Multi Commodities Centre (DMCC):</p> <ul style="list-style-type: none"> • Good Delivery list • Rules for Risk Based Due Diligence in the Gold and Precious Metals Supply Chain <p>www.dmcc.ae/gateway-to-trade/commodities/gold/responsible-sourcing</p>	<p>Gold refiners do not have to be audited against the following COC standard provisions:</p> <ul style="list-style-type: none"> • Due diligence: 1.1, 1.2, 1.3 <p>When verifying open nonconformities, DMCC's low- and medium-risk deviations can be treated as minor nonconformities. High-risk deviations are to be treated as major nonconformities.</p>
<p>Fairtrade Standard for Gold and Associated Precious Metals for Artisanal and Small-Scale Mining</p> <p>www.fairtrade.org.uk/Farmers-and-Workers/Gold</p> <p>Fairmined Standard for Gold from Artisanal and Small-scale Mining, Including Associated Precious Metals</p> <p>www.fairmined.org/the-fairmined-standard</p>	<p>Material from mines certified to these standards can be traded under the COC standard in combination with other COC materials.</p>

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EXTERNAL STANDARD OR INITIATIVE	IMPLICATIONS FOR COC SELF-ASSESSMENT OR AUDIT IF EXTERNALLY CERTIFIED
<p>International Council on Mining and Metals (ICMM) sustainable development framework (mines that have been included in assurance site sampling within the past three years)</p> <p>www.icmm.com</p> <p>The Mining Association of Canada – Towards Sustainable Mining (TSM) Guiding Principles (mines with a verification Level A or higher across all indicators)</p> <p>http://mining.ca/towards-sustainable-mining/</p>	<p>Material from mines verified or assured against these schemes is subject to a validation process before it can be traded in combination with other COC materials (see COC standard provision 6.2, Eligible Mined Material).</p>

We will publish news of any further initiatives or standards that the RJC officially recognises as equivalent on our website at www.responsiblejewellery.com/harmonisation. If you have a question about an initiative not listed here, please contact us at training@responsiblejewellery.com

