



RESPONSIBLE
JEWELLERY
COUNCIL

LEAD WITH PURPOSE . INSPIRE WITH TRUST
CONTRIBUTE TO DEVELOPMENT

BY THE AUTHORITY OF THE COUNCIL

EXEX DIAMONDS LLC

IS A CERTIFIED MEMBER OF
THE RESPONSIBLE JEWELLERY COUNCIL

NUMBER: 0000 2098
DURATION: 3 Year
PERIOD: 27 January 2021 - 27 January 2024
STANDARD: Code of Practices 2019

DAVID BOUFFARD
CHAIRMAN

IRIS VAN DER VEKEN
EXECUTIVE DIRECTOR



CODE
OF
PRACTICES



CERTIFICATION OVERVIEW

| | |
|--------------------------|---|
| MEMBERSHIP FORUM | Diamonds and coloured gemstones trader, cutter and/or polisher |
| AUDIT DATE | 09 November 2020 |
| AUDIT TYPE | First Certification |
| APPLICABLE STANDARD | Code of Practices 2019 |
| ACCREDITED AUDIT FIRM | SGS United Kingdom Limited |
| CERTIFICATION SCOPE | ExEx Diamonds LLC, New York, USA - Sourcing and wholesaling of natural, polished diamonds. |
| APPLICABLE PROVISIONS | <ol style="list-style-type: none">1. General requirements: 1-4, except 3.22. Responsible supply chains and human rights: 5-14, except 7.1a, 7.3, 8, 9, 11.3, 13.2-4 and 14.2-33. Labour rights and working conditions: 15-22, except 19.3 and 21.34. Health, safety and environment: 23-27, except 23.6, 23.10 and 27.45. Diamonds, gold and platinum group metal products: 28-30, except 28.2a,b,i, 29.2 and 306. Responsible mining sector: Not applicable |

PROVENANCE
CLAIMS

Not Applicable

AUDITOR STATEMENT
OF CONFORMANCE

Based on the scope and findings of the certification audit, the member has demonstrated a conformance level consistent with a:

3 Year Certification

NEXT AUDIT TYPE

MID-TERM REVIEW (within 12-24 months):

- A. Mid-term review NOT required due to:**
- No anticipated changes to the certification scope during the certification period
 - <25 full time equivalent personnel
 - Low risk of non-conformances due to management controls
 - Existence of parallel audits for schemes recognised by RJC
 - Existence of rigorous internal audit programs
 - Strong internal controls ensuring effective review and closure of corrective actions for non-conformances
-
- B. Mid-term review (desktop only) required due to:**
- No impact on conformance levels from any changes to the certification scope
 - 2 or less minor non-conformances with critical provisions or 4 or less minor non-conformances in general identified by the audit (not covered by parallel audits for schemes identified as equivalent to RJC's) for an individual member in the mining sector or a non-mining sector member
 - Possibility of remote verification of actions
 - No risk to critical provisions

-
- C. Mid-term review (with a site visit) required due to:
 - Known and anticipated changes to the certification scope during the certification period
 - Member no longer supporting external standards and initiatives recognised by RJC
 - 3 or more minor non-conformances with critical provisions or 5 or more minor non-conformances not covered by parallel audits for schemes identified as equivalent to RJC's for any non-mining sector member or individual mining facility, if the member is in the mining sector
 - New systems and controls for critical provisions that could not be tested at the certification audit
 - Facilities with risk of non-conformances with critical provisions
 - Other reasons presented by the lead auditor necessitating an onsite verification

ABOUT THIS CERTIFICATION

This certification is issued by the Responsible Jewellery Council in accordance with its standards and supporting documentation, available on the [RJC website](#).

This certificate can be authenticated on the [RJC website](#). Please contact certification@responsiblejewellery.com with any questions.

The RJC aims to ensure the fair, timely and objective resolution of complaints relating to RJC certification. The complaints mechanism and contact details can be accessed on the [RJC website](#).

DISCLAIMER

No guarantee, warranty or representation is made by the RJC as to the accuracy or completeness of the information in this COP certificate, and no advice or information, whether oral or written, obtained from the RJC or elsewhere, will create any warranty, guarantee or representation in respect of this COP certificate. Compliance with RJC's standards and procedures is neither intended to, nor does it create, establish, or recognise any legally enforceable obligations or rights of, or against, the RJC and/or its members or signatories. To the fullest extent permitted by law, the RJC does not accept or assume responsibility to anyone for the audit process, for the member, for the entity, for the auditor, for COP certification, or for the decision to grant COP certification.

The Responsible Jewellery Council is the trading name of the Council for Responsible Jewellery Practices Ltd. The Council for Responsible Jewellery Practices Ltd, Quality House, 5-9 Quality Court, London, WC2A 1HP, UK. The Council for Responsible Jewellery Practices Ltd is registered in England and Wales with company number 05449042.