



BY THE AUTHORITY OF THE COUNCIL

*TCA S.p.A*

IS CHAIN-OF-CUSTODY CERTIFIED BY THE  
*Responsible Jewellery Council*

CERTIFICATION NUMBER: C0000 0057  
CERTIFIED UNTIL: 14 FEBRUARY 2020  
TYPES OF ELIGIBLE MATERIALS HANDLED: GOLD  
ELIGIBLE MATERIAL DECLARATIONS ISSUED: RECYCLED GOLD

A handwritten signature in black ink, appearing to read 'Wilfried Hoerner'.

WILFRIED HOERNER

*Chairman*

*Responsible Jewellery Council*

A handwritten signature in black ink, appearing to read 'Andrew Bone'.

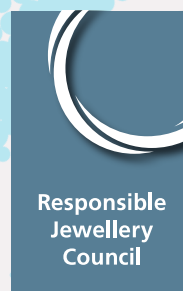
ANDREW BONE

*Executive Director*

*Responsible Jewellery Council*

# RJC CERTIFICATION INFORMATION – CHAIN-OF-CUSTODY

## *Summary*



*CoC Certified Entity* TCA S.p.A

*Certification Number for this Certification* C0000 0057

*Certification Period* 14 February 2017 – 14 February 2020

*Audit Date* 14-15 December 2016, 13 January 2017

*Audit Type* First Certification

*Surveillance Audit Completed* 12 January 2018

*Accredited Auditor* Bureau Veritas Italia  
**Lead Auditor:** Fabrizio Bordin

*Entity Certification Scope* TCA S.p.A, Capolona, Italy - Commercial office, laboratory and refining facility; TCA S.p.A, Sale, Italy - Commercial office and treatment facility; TCA S.p.A, Altavilla Vicentina, Italy - Commercial office.

*Types of Eligible Materials Handled* Gold

*Eligible Material Declarations Issued* Recycled Gold

*Changes during the Certification Period*

Not applicable.

*Applicable Provisions*

**CoC Management:** 1-3, except 3

**Systems to Confirm Eligibility of Material:** 4-6, except 4 and 6

**Issuing CoC Documentation:** 7-9, except 7.2

**Conflict-Sensitive Sourcing:** 10

*Auditor Statement of Conformance*

**Based on the scope and findings of the Audit, the sites visited and the available information provided by the Member:**



The Member has demonstrated a conformance level consistent with a 3 year Certification Period.

*Confirmation*

**The Auditors confirm that:**



The information provided by the Member is true and accurate to the best knowledge of the Auditor(s) preparing this report.



The findings are based on verified Objective Evidence relevant to the time period for the assessment, traceable and unambiguous.



The scope of the assessment and the method used are sufficient to establish confidence that the findings are indicative of the performance of the Member's defined Certification Scope.



The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

*Auditor Signature*

On file

*RJC Member which controls Entity*

TCA S.p.A

## CoC Certification Scope

---

CoC Certification is open to RJC Members and/or Entities under their Control. The CoC Certification Scope is defined by the Member/Entity seeking CoC Certification and must include:

- All Facilities under the Control of the Member that the Member/Entity intends to use for the extraction, processing, manufacturing, storage, handling, shipping and receiving, and marketing of CoC Material; and
- All Outsourcing Contractors that the Member/Entity intends to use for the processing and manufacturing of CoC Material; and
- The types of CoC Material (Gold, and/or Platinum Group Metals) to be included in the Certification Scope; and
- The types of Eligible Material (Material in the form of Mined, Recycled and/or Grandfathered), if any, for which the Member/Entity intends to issue Eligible Material Declarations.

## Steps in the Certification Process

---



## Audit Methodology

---

The RJC standards documentation is comprehensive and includes an auditable standard (the Chain-of-Custody standard), guidance, handbook for certification and assessment tools for businesses and auditors. These can be downloaded at:

<http://www.responsiblejewellery.com/chain-of-custody-certification/>

The audit process is a management systems audit aligned with ISO19011. Auditors use the triangulation method of management and employee interviews, documentation review and facility observations to verify an Entity's performance against the applicable standards.

Following Certification, a Surveillance Audit is conducted by the RJC Accredited Auditor to monitoring a sample of CoC Provisions to provide assurance that the Entity continues to conform with the RJC CoC Standard. The sample of Provisions is selected by the Auditor and covers areas of greatest risk of non-conformance as determined during the previous Audit.

For the first Self Assessment or Certification Audit, evidence includes records from the previous 12 months. Sampling during a Surveillance Audit or a Re-Certification Audit includes records since the last audit.

## *RJC Complaints Mechanism*

---

The RJC aims to ensure the fair, timely and objective resolution of complaints relating to potential non-conformance with RJC Certification or RJC's own policies and procedures. Where complaints arise, it is a condition of participation in RJC activities for RJC Members and Accredited Auditors to submit to the RJC Complaints Mechanism and to be bound by the decisions of the RJC. However this does not replace or limit access to judicial remedies.

Full documentation supporting the RJC Complaints Mechanism can be downloaded from:

<http://www.responsiblejewellery.com/contact-us/rjc-complaints-mechanism/>

### **Disclaimer**

No guarantee, warranty or representation is made as to the accuracy or completeness of this information. Compliance with RJC's standards and procedures is neither intended to, nor does it create, establish, or recognise any legally enforceable obligations or rights of, or against, the RJC and/or its Members or signatories.